

Wann sind Optimizer effektiv?

Franz Baumgartner, ZHAW Winterthur, Switzerland

See EU PVSolar Energy Conf. talk 2022, 2023 & 2024

Quantifying Losses due to Shading

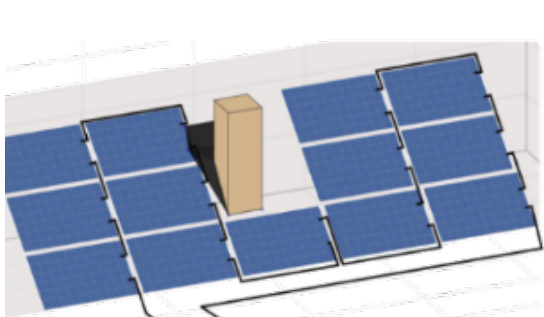


- About **200 million power optimizer (MLPE)** are mounted worldwide behind each PV module to increase performance
- **Do these high-tech components on the roof really always lead to more yield?**
- Do the **independent studies** back up the double-digit yield increases promised by power optimiser manufacturers?



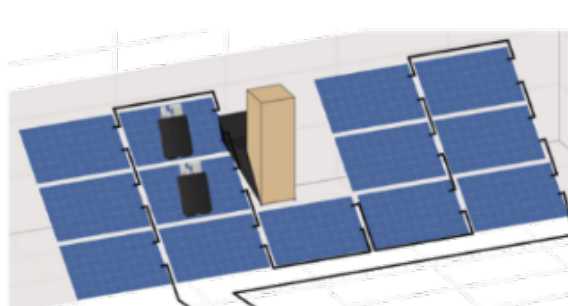
"Up to 30% more energy!"
Marketing at Intersolar
Munich 2021,

PV Module Wiring – 3 Types of DC/AC Systems



Conventional
String-inverter System

(SINV)



Independent
Power Optimizer System

(indMLPE)



Fully-equipped
Power Optimizer System

(allMLPE)

IV curve at typical shading situation half cut cell cr- Si module

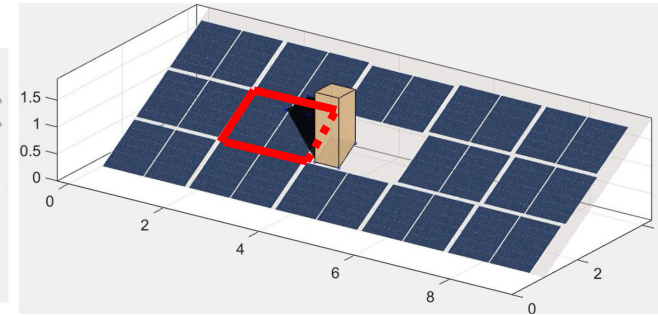
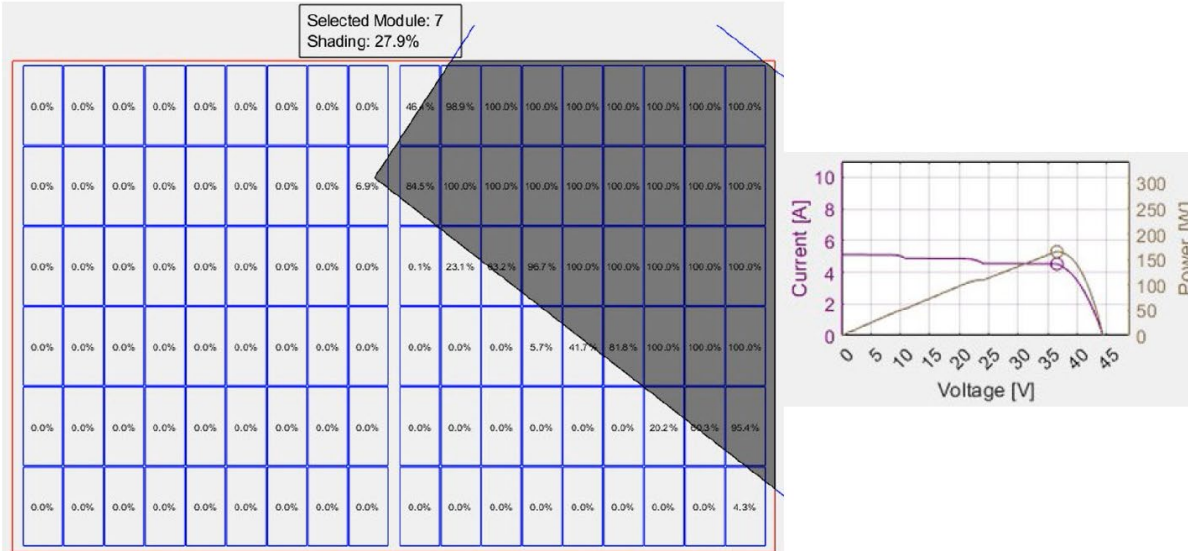
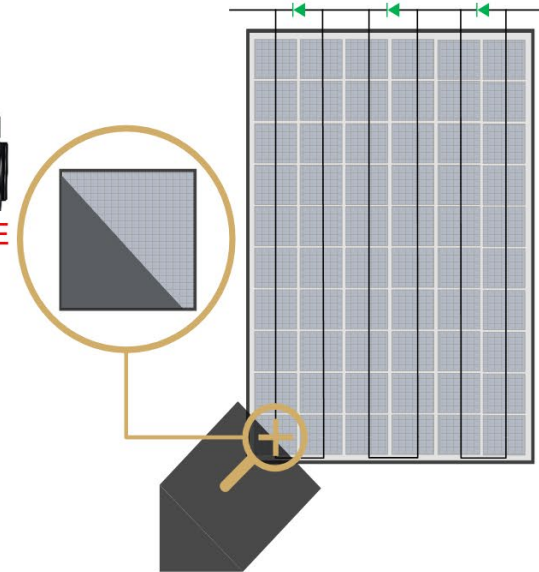
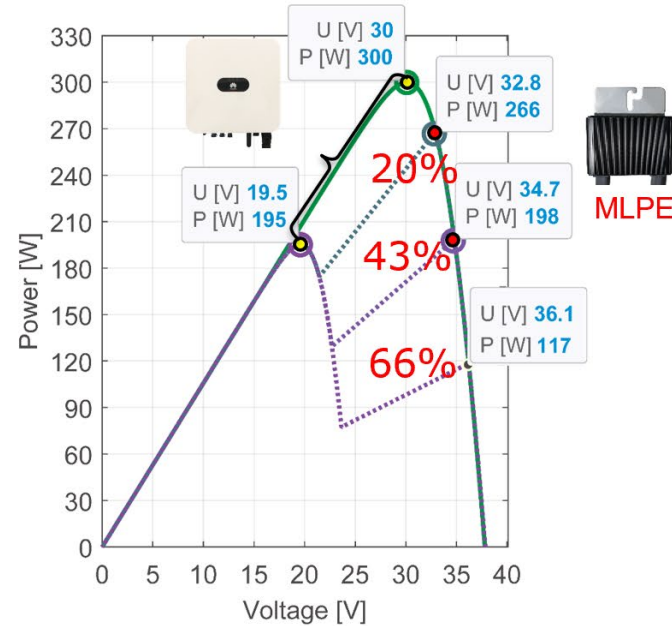
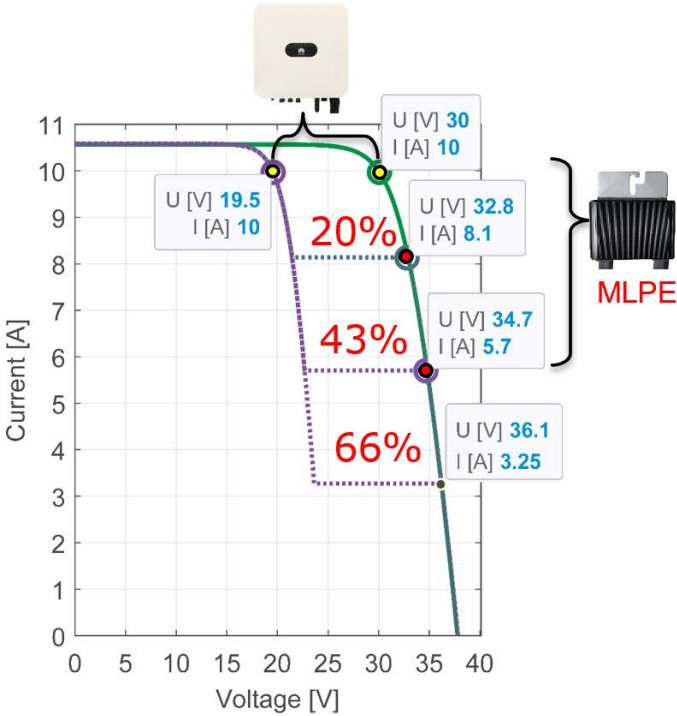


Figure 4.2 – 3D model of the 14-module rooftop PV system consisting of half-cut modules, which are affected by the partial shading of a chimney.

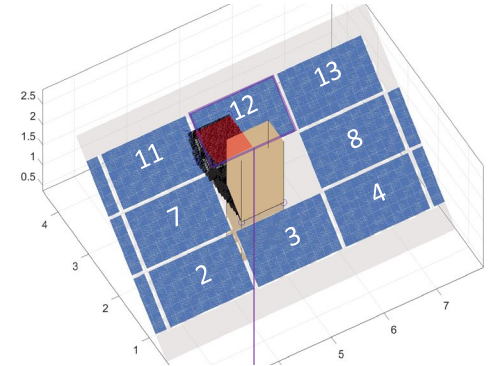
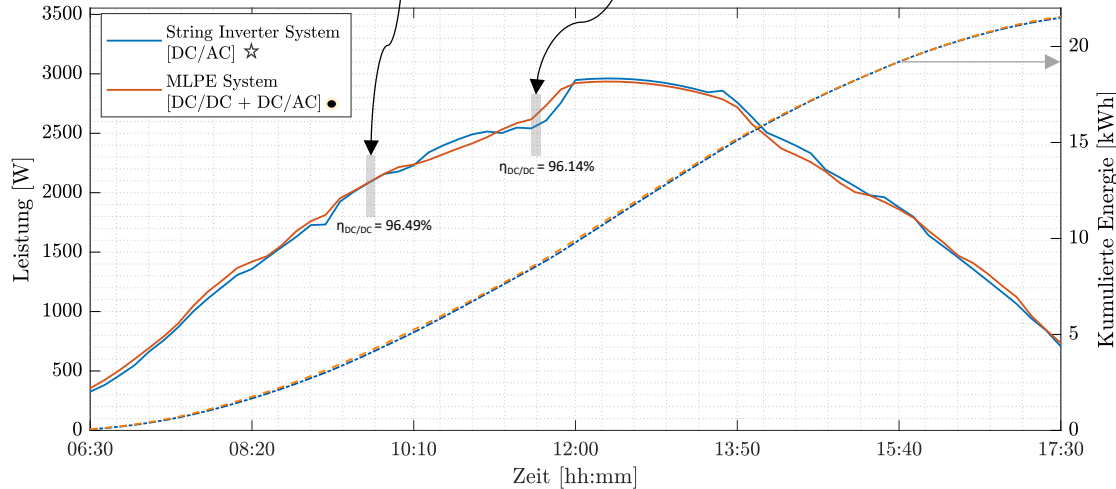
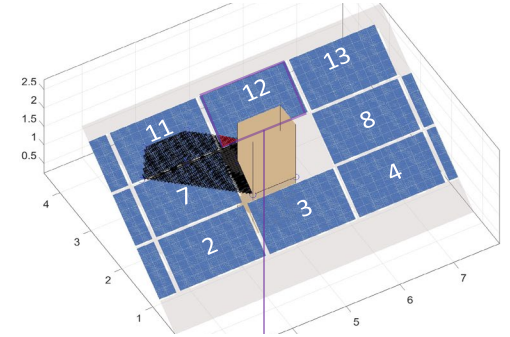
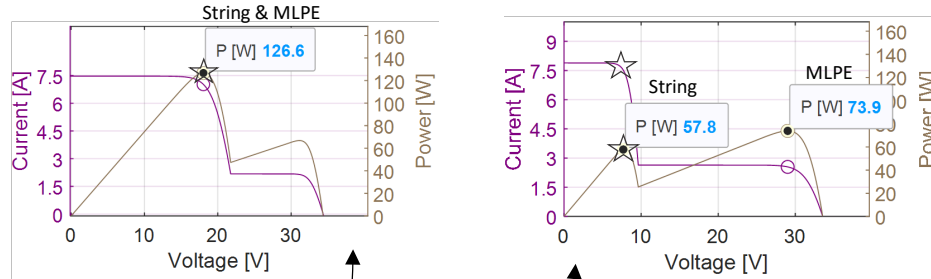
Figure 4.1 – Cell shading percentage of a half-cut PV module with partial shading by a chimney and corresponding IU- and PU-curves.

Butterfly PV module 2x9x6=108 half solar cells

PV Module Under Partial Shading



Clear Sky Day – MLPE versus SINV Performance

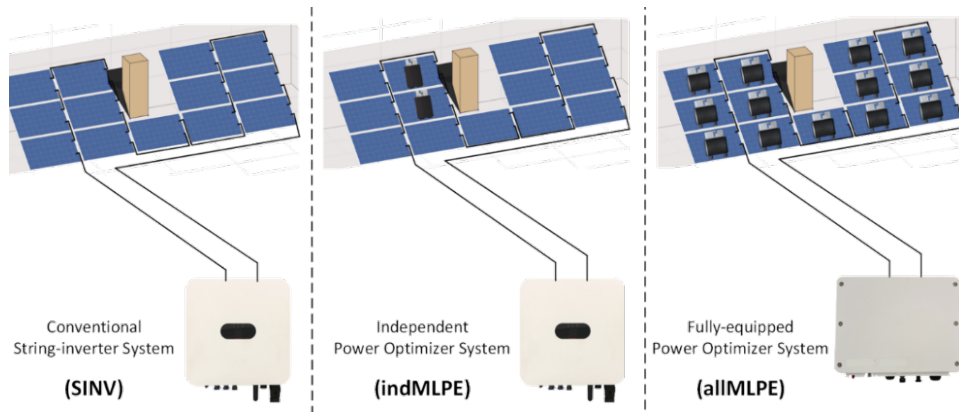


Power Electronics of Partial Shaded PV Systems



Introduction

- The Evolution of PV Technology from String Inverter – Optimiser – Hotspot-free PV Module
- Fair Manufactures Data Sheet Values missing
- Challenges in PV Planning and recommending the most efficient System Power Electronic Topology



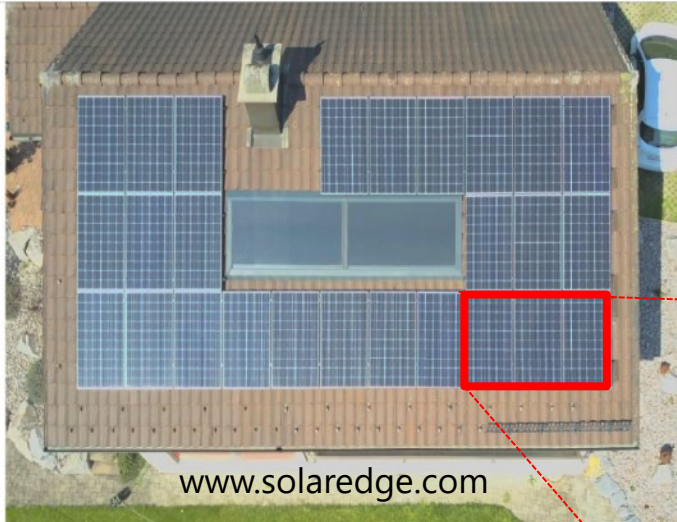
PVPS
Performance of Partial Shaded PV Generators Operated by Optimized Power Electronics
2024
Report IEA-PVPS T13-27-2024



Module Level Power Electronics (MLPE)



PVA Schneider, Turbenthal



www.solaredge.com

n DC/DC converter

MLPE 3
 $U_{in}=30V$

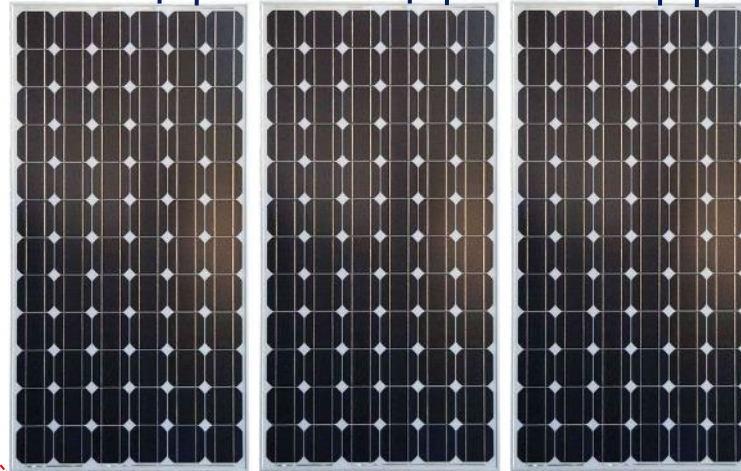
MLPE 2
 $U_{in}=30V$

MLPE 1
 $U_{in}=30V$

$U_{in}=360VDC$



DC/AC



75.75 Wh 1.1.1	81.25 Wh 1.1.2	76.75 Wh 1.1.3	0 Wh 1.1.4	80 Wh 1.1.5	81 Wh 1.1.6	71.25 Wh 1.1.7	80.25 Wh 1.1.8	80.25 Wh 1.1.9
82.5 Wh 1.1.15	74.25 Wh 1.1.14	73.5 Wh 1.1.13				85 Wh 1.1.12	79 Wh 1.1.11	80.25 Wh 1.1.10
69.25 Wh 1.1.16	84.75 Wh 1.1.17	85.75 Wh 1.1.18	78.5 Wh 1.1.19	61.25 Wh 1.1.20	42 Wh 1.1.21	79.5 Wh 1.1.22	77.5 Wh 1.1.23	83.5 Wh 1.1.24
								72 Wh 1.1.25
								87.25 Wh 1.1.26

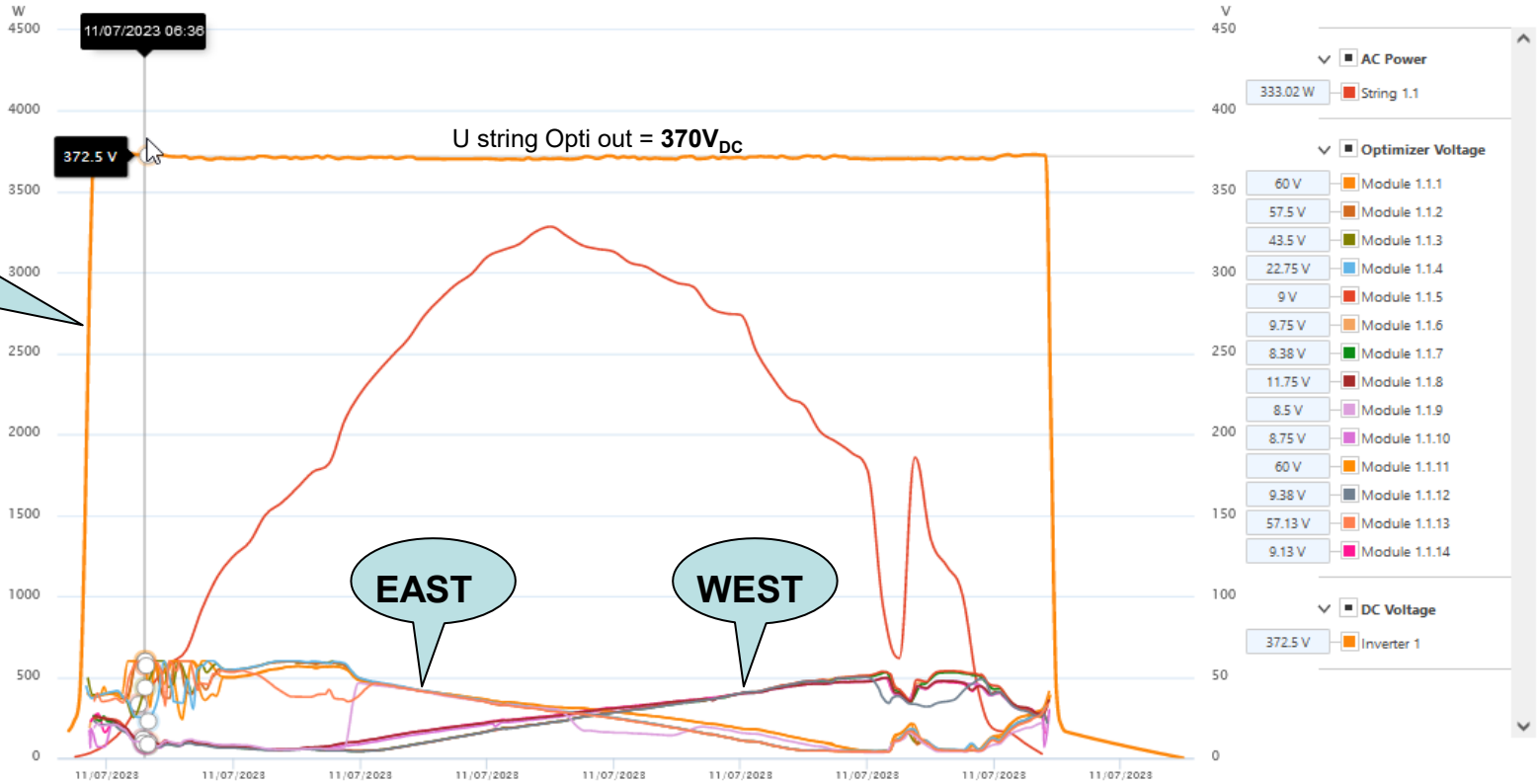


PVPS

On Top of East West Dormer

Solaredge Monitoring 2023-07-15 Clear Sky Day

Optimizer Output Voltage & String Voltage

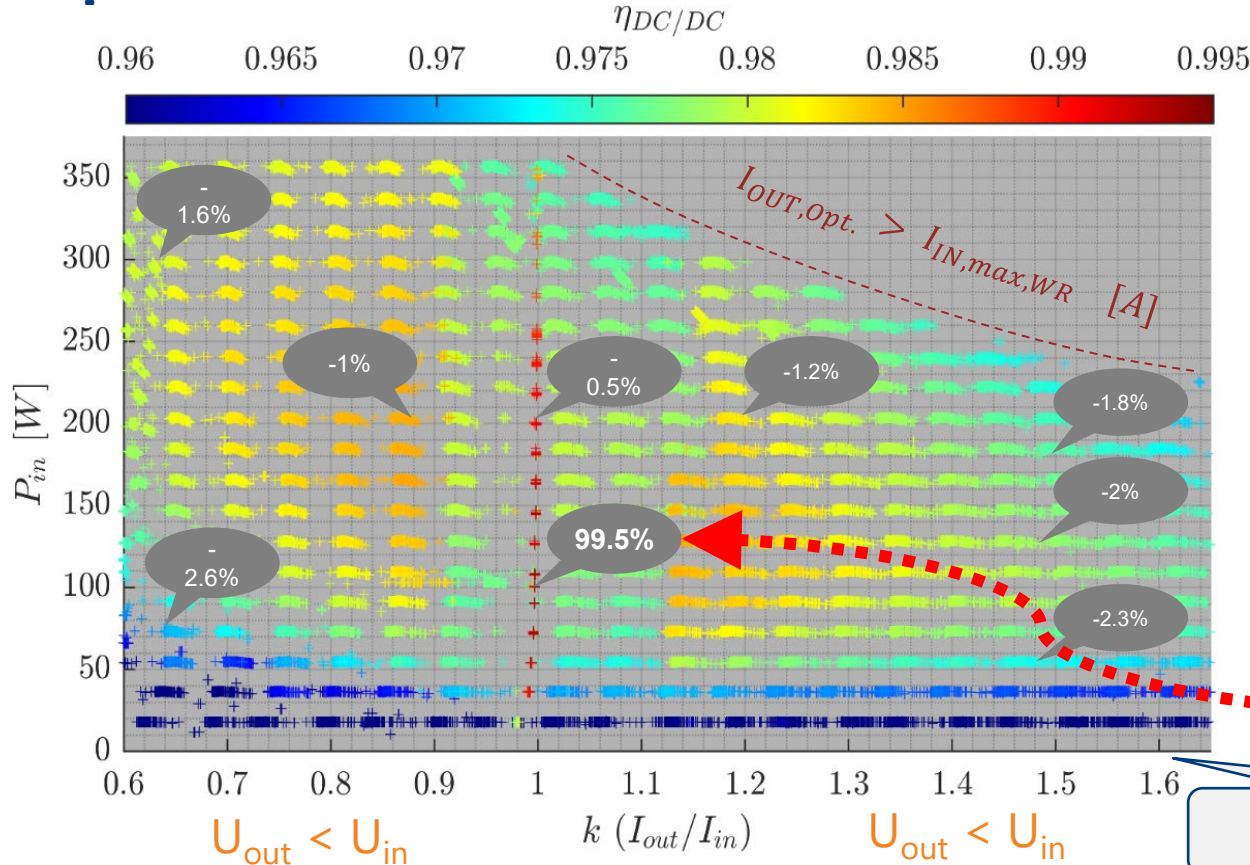


Wake up in the morning

EAST

WEST

MLPE Real Efficiency ZHAW Measurement



Optimizer P370

ZHAW IEFE Measurement results						
P370	0.85	0.95	k = 1	1.05	1.2	1.5
EURO Eff.	97.7 %	97.3 %	98.9 %	97.4 %	97.6 %	96.6 %
No. Optimizer	9	10	11	12	13	17

Manufacturer	
Max	Weighted
99.5%	98.8%

-2%

High number of optimizer in the string

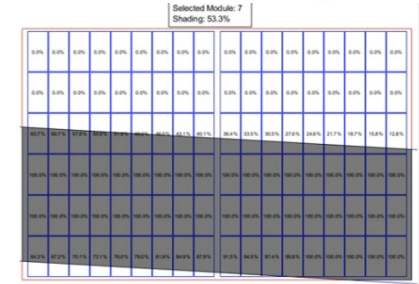
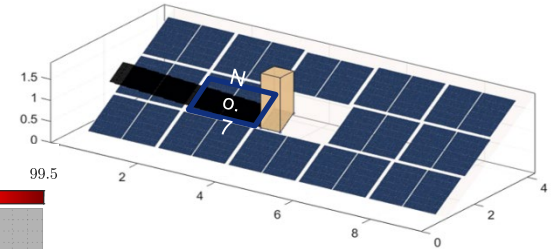
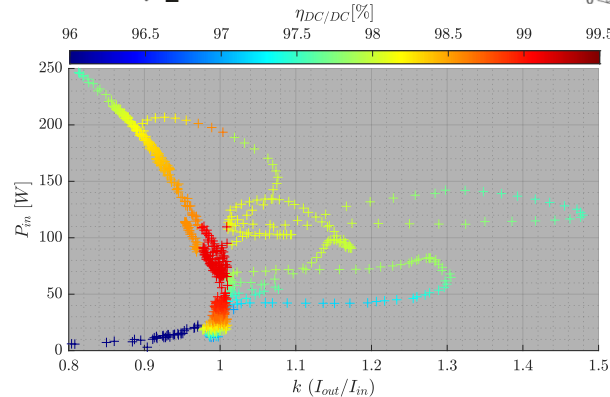
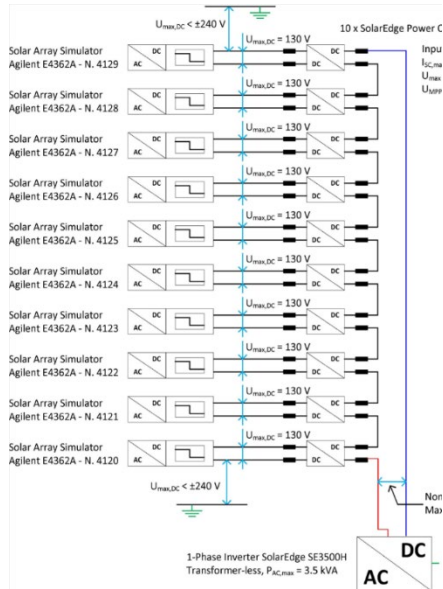
PVshade – Simulation Based on Real Losses



Indoor ZHAW Lab measurements
of power electronic components in the
relevant operation area

Annual ZHAW PVshade Simulation
of shadow propagation & losses for each power
electronic component / optimizer

PVPS



Light Shading SI 1% - ZHAW Webtool Simulation



Shaded PV & Optimized System Performance

expert mode

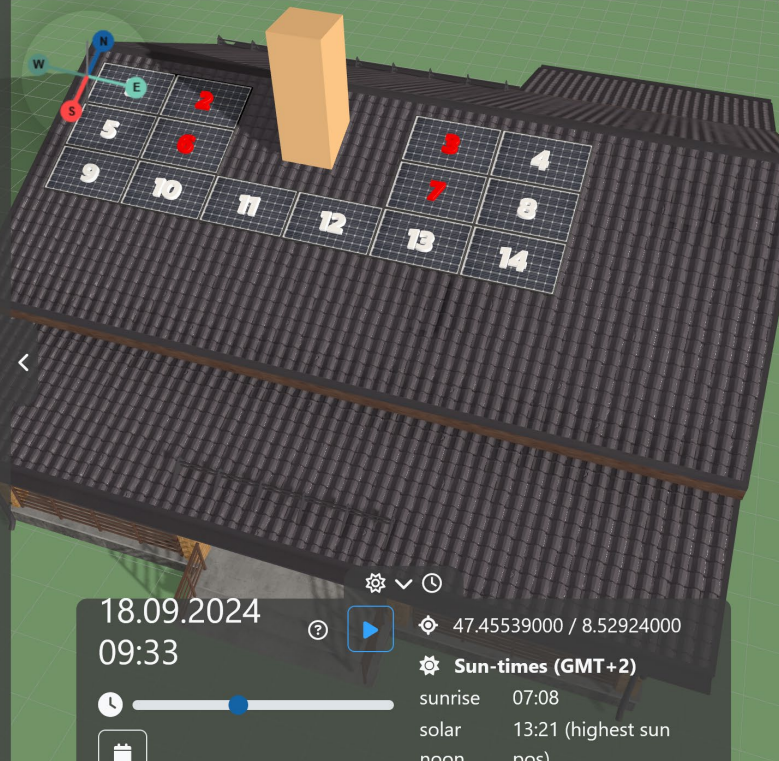
zhaw School of Engineering
IEFE Institute of Energy Systems and Fluid Engineering
www.ife.zhaw.ch

Shading cases ?

Filter cases

- South-facing Chimney (full-cell modules)
with spacing between chimney and modules
Demo cases ?
- South-facing Dormer ?
with spacing between dormer and modules
Demo cases ?
- South-facing Dormer (full-cell modules) ?
without spacing between dormer

Shading-case ID



Annual results

SA-efficiency:	?
SINV	97.11 % best
MLPE	96.5 % -0.61 % (rel.)
indMLPE	96.77 % -0.34 % (rel.)

Shading index: 1 % ?

PVPS



Light Shading SI 1% - ZHAW Webtool Simulation



System definition description

	SINV	independent MLPE	all MLPE
Inverter type	Huawei, 3.68KTL-L1	Huawei, 3.68KTL-L1	Solaredge, SE3500H
Optimizer system	-	Huawei, SUN2000-450W-P2	Solaredge, P370
Module type	14 x LG NEON2 LG350N1C		

Inclination: 25.2°

Rotation: 180°

Plant size: 25.5 m²

Peak power: 4.9 kW

Theoretical DC-max: 3,142.9 kWh

Environment

Pos.: 8.52924 / 47.45539 (lon/lat)

Albedo: 0.18

Shading index: 1.003

Simulation

Time-period: 2018-01-01 / 2018-07-01

Sim-steps: 30 min

Annual results

Performance ratio: 0.97

[analyse single PV-modules](#)

	SINV	independent MLPE	all MLPE
AC-out	3,052 kWh	3,041.4 kWh (-0.35%)	3,033 kWh (-0.62%)
DC-out	3,130.4 kWh	3,118.5 kWh	3,070.4 kWh
AC-out (specific)	622.9 kWh/kWp	620.7 kWh/kWp	619 kWh/kWp
SA-efficiency (AC)	97.11 %	96.77 %	96.5 %
SA-efficiency (DC)	99.6 %	99.22 %	97.69 %
Total energy loss (to DC max.)	-2.89 %	-3.23 %	-3.5 %

SINV
eta_{EURO} = 97.3%



Light Shading SI 1% - ZHAW Webtool Simulation



Module-results

PV-module	Annual result	Delta to max.
#1	214 kWh	-2.05% (-4.49 kWh)
#2	209 kWh	-4.65% (-10.17 kWh)
#3	210 kWh	-3.97% (-8.69 kWh)
#4	215 kWh	-1.76% (-3.86 kWh)
#5	218 kWh	-0.52% (-1.14 kWh)
#6	218 kWh	-0.28% (-0.62 kWh)
#7	218 kWh	-0.40% (-0.87 kWh)
#8	218 kWh	-0.56% (-1.22 kWh)
#9	219 kWh	-0.04% (-0.09 kWh)
#10	219 kWh	-0.00% (-0.00 kWh)
#11	219 kWh	-
#12	219 kWh	-
#13	219 kWh	-
#14	219 kWh	-0.05% (-0.12 kWh)

-4.7%
Module No 4



Shading c...
zhaw School of Engineering
IEFE Institute of Energy Efficient Buildings and Indoor Climate
www.iefef.zhaw.ch
Shading c...
Filter cases
South-facing (cell modules) with spacing between modules
Demo cases
South-facing (cell modules) with spacing between modules
Demo cases
South-facing (cell modules) without spacing between modules
Shading-case ID

Medium Shading SI 5% - ZHAW Webtool Simulation



Shaded PV & Optimized System Performance

expert mode

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Shading cases ?

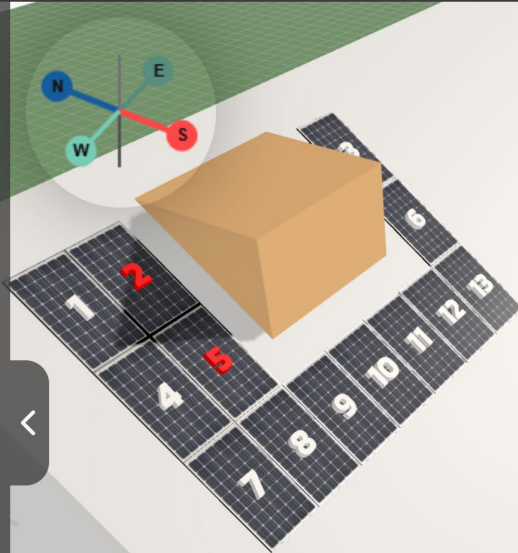
Filter cases ▾

▼ Demo cases ?

South-facing Dormer ?

with spacing between dormer and modules

▼ Demo cases ?



Annual results

SA-efficiency: ?

SINV 94.73 % **-1.77 % (rel.)**

MLPE 96.49 % **best**

indMLPE 95.54 % **-0.95 % (rel.)**

Shading index:
5.33 % ?



Heavy Shading SI 9% - ZHAW Webtool Simulation



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Shading cases ?

Filter cases

Demo cases ?

South-facing Dormer (full-cell modules) ?
without spacing between dormer and modules



Annual results

SA-efficiency: ?

SINV 92.72 % -3.49 % (rel.)

MLPE 96.21 % best

indMLPE 94.13 % -2.08 % (rel.)

Shading index: 8.85 % ?

PV-module	Annual result	Delta to max.
#1	305 kWh	-15.64% (-56.64 kWh)
#2	272 kWh	-24.96% (-90.38 kWh)
#3	275 kWh	-23.97% (-86.80 kWh)
#4	336 kWh	-7.20% (-26.09 kWh)
#5	289 kWh	-20.33% (-73.60 kWh)
#6	309 kWh	-14.71% (-53.25 kWh)
#7	361 kWh	-0.18% (-0.65 kWh)
#8	362 kWh	-0.12% (-0.43 kWh)

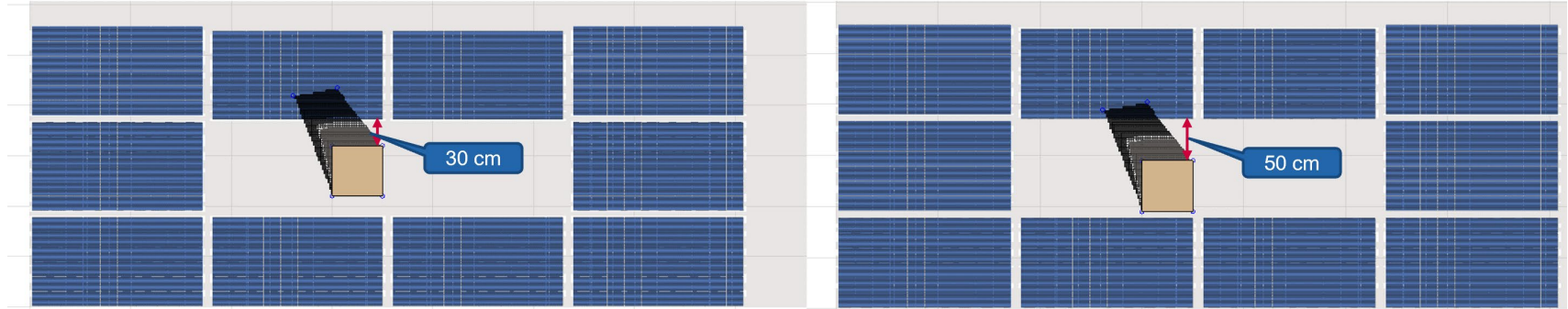


Annual Simulation Results 1 with real Eff.



System A : **MLPE @ Chimney - near**
Optimizer Solaredge SE3500H (HD-wave)+P370


System B : **SINV @ Chimney - far**
Stringinverter Huawei SUN2000-3.68KTL-L1



	Chimney «near»
MLPE	100%
SINV	99.5%



	Chimey «far»
MLPE	102.8%
SINV	103%



Annual Simulation Results 2 with real Eff.



System A : **MLPE**
Optimizer Solaredge SE3500H (HD-wave)+P370

System B : **SINV**
Stringinverter Huawei SUN2000-3.68KTL-L1

	MLPE – new–	MLPE – old–	SINV -new-
Chimney «near»	96.2%	94.4%	95.7%
Chimney «far»	96.2%	94.4%	96.6%
Manufacturer	$98.8\% \cdot 98.8\% = 97.6\%$	$98.8\% \cdot 97.5\% = 96.3\%$	97.3%



PV Plan Designer will find OPTIMUM



System of 14 PV modules: Percentage Performance Benefit of **MLPE (new DC/AC)** versus **SINV**

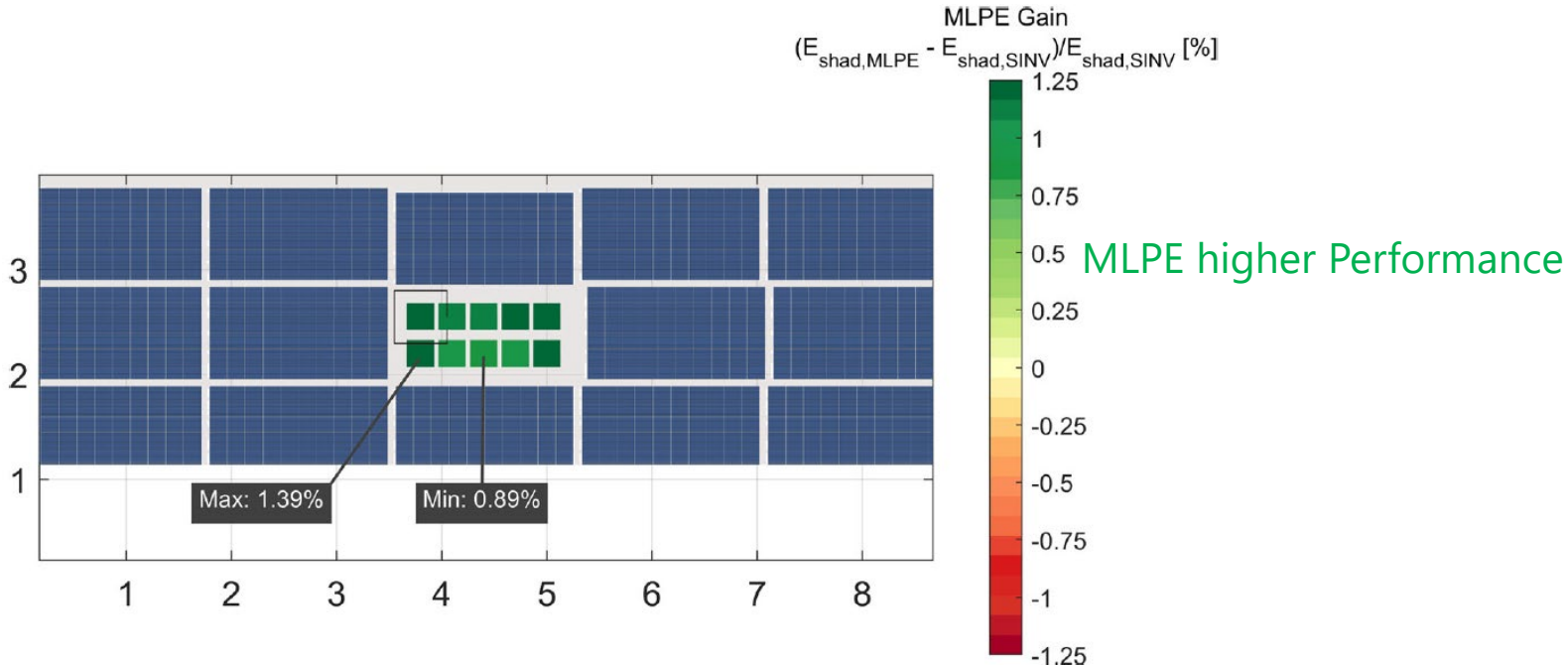


Figure 12. Comparison of the SINV: SUN2000-3.68KTL-L1 and MLPE: SE3500H with P370 power optimizer for a 14-module residential PV plant. Annual MLPE yield gain for 10 chimney positions visualized as boxes and their magnitude indicated by color bar. Minimum 0.9% and maximum MLPE yield gain 1.4% are denoted by gray text boxes

PV Plan Designer will find OPTIMUM



System of 14 PV modules: Percentage Performance Benefit of **MLPE (old DC/AC)** versus **SINV**

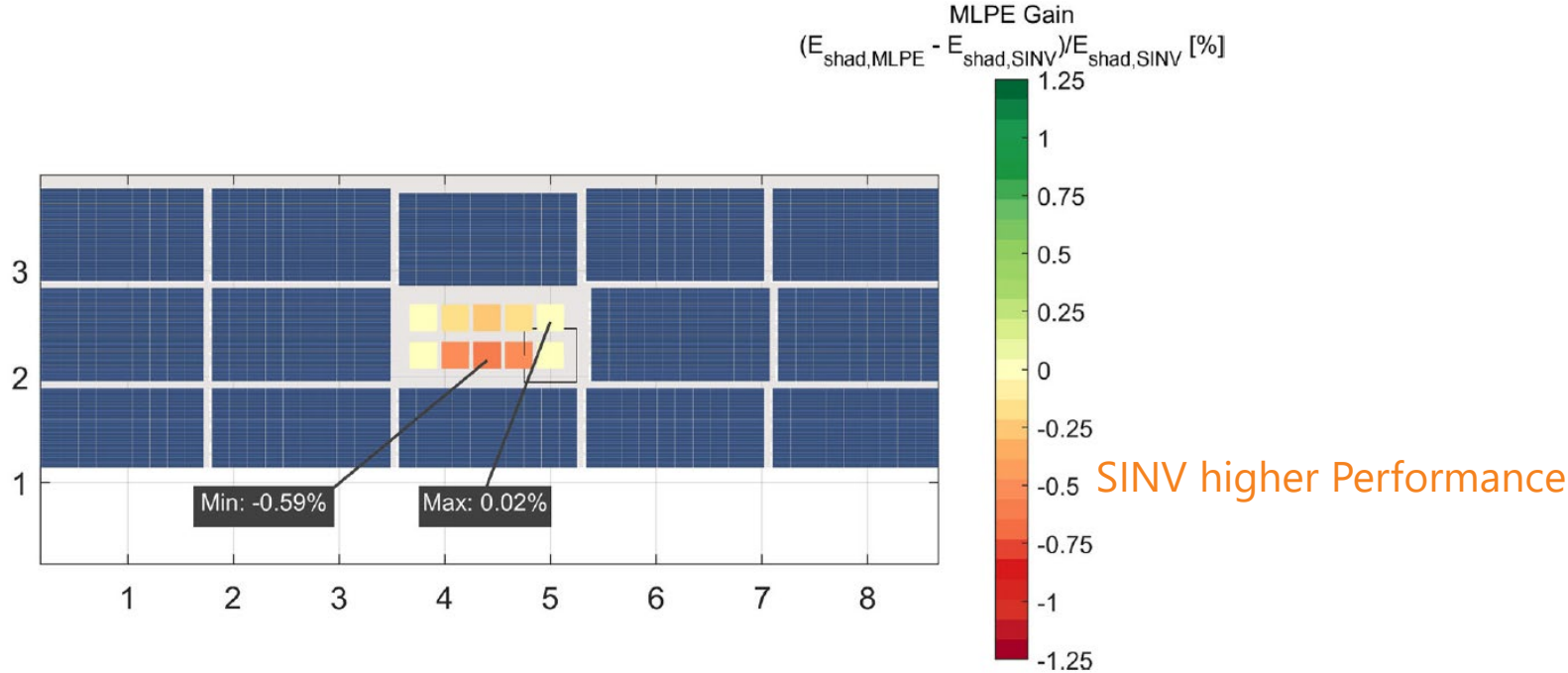
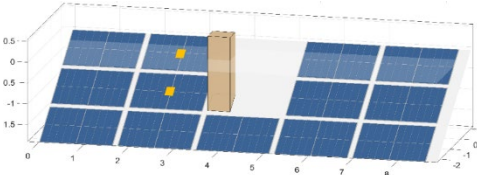


Figure 13. Comparison of the SINV: SB3.6-1AV-4L and MLPE: SE3500 (non-HV-wave) with P3/U power optimizer for a 14-module residential PV plant. Annual MLPE yield gain for 10 chimney positions visualized as boxes and their magnitude indicated by color bar. Minimum 0.6% and maximum MLPE yield gain 0.02% are denoted by gray text boxes

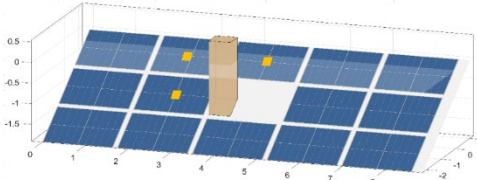
Shading tolerant PV modules are effective 1



#13 PV modules
SI about 2%

Relative Annual Energy		Full Cell PV Module	Half-cut cell PV Module	Third-cut cell PV Module	4 quadrant shingled	Shading-resistant All Cells + Diode	
Unshaded + no losses				100			%
shaded + no losses				96.8	98.4	97.8	%
SINV	Relative Energy	93.0	92.9	92.7	94.0	93.9	%
indMLPE	Relative Energy	93.8	93.6	93.1	94.6	94.8	%
	MLPE Gain	0.9	0.8	1.1	0.6	0.4	%
allMLPE	Relative Energy	93.3	92.8	93.7	94.4	94.2	%
	MLPE Gain	0.3	-0.2	0.4	0.3	-0.1	%
Average Rel. Energy		93.3	93.1	93.2	94.4	94.3	%
Max. SINV diff.: 1.4%				Max. allMLPE diff.: 1.2%			
Max. indMLPE diff.: 1.8%				Max. Difference Tot: 2.1%			

+1.8%



#14 PV modules
SI about 3%

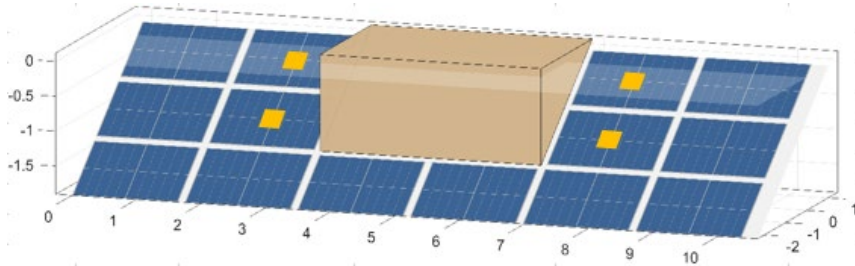
Relative Annual Energy		Full Cell PV Module	Half-cut cell PV Module	Third-cut cell PV Module	Shading-resistant 4 quadrant	Shading-resistant All Cells + Diode	
Unshaded + no losses				100			%
shaded + no losses				95.8	98.0	97.0	%
SINV	Relative Energy	90.7	91.7	91.2	93.1	92.9	%
indMLPE	Relative Energy	92.1	92.7	92.1	93.8	93.4	%
	MLPE Gain	1.4	1.1	1.6	0.8	0.5	%
allMLPE	Relative Energy	91.6	91.9	92.6	93.9	93.0	%
	MLPE Gain	0.9	0.3	1.1	0.9	0.1	%
Average Rel. Energy		91.5	92.1	92.0	93.6	93.1	%
Max. SINV diff.: 1.9%				Max. allMLPE diff.: 2.3%			
Max. indMLPE diff.: 1.3%				Max. Difference Tot: 2.2%			

+3.1%

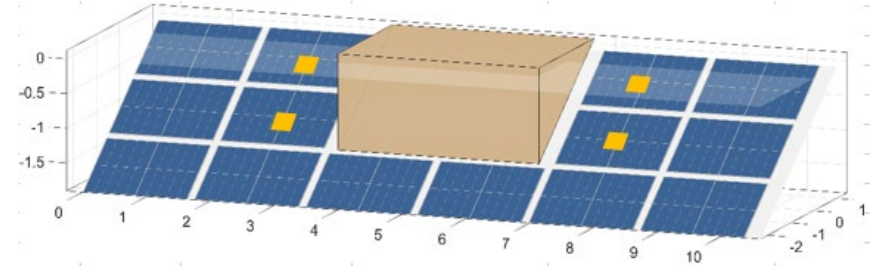
Distance PV Module to the Shading Object



no distance



more space



Shading Adapiton Efficiency in %		Full cell module	Shading-resistant 4 diode	Shading-resistant all diodes
Unshaded + no losses		100		
Shaded + no power electronic losses		94.3	96.5	96.6
SINV	Relative Energy	88.4	90.8	92.3
indMLPE	Relative Energy	90.6	91.6	92.7
	MLPE Gain	1.4	0.8	0.3
allMLPE	Relative Energy	89.6	92.5	92.7
	MLPE Gain	2.4	1.8	0.4

Shading Adapiton Efficiency in %		Full cell module	Shading-resistant 4 diode	Shading-resistant all diodes
Unshaded + no losses		100		
Shaded + no power electronic losses		95.2	97.3	97.1
SINV	Relative Energy	90.1	92.1	92.9
indMLPE	Relative Energy	91.0	92.6	93.1
	MLPE Gain	1.0	0.6	0.3
allMLPE	Relative Energy	91.4	93.2	93.1
	MLPE Gain	1.5	1.2	0.3

Markets: Shading Tolerant Modules



IBC solar cell cross section
ISFH Germany 2020
www.nature.com/scientificreports

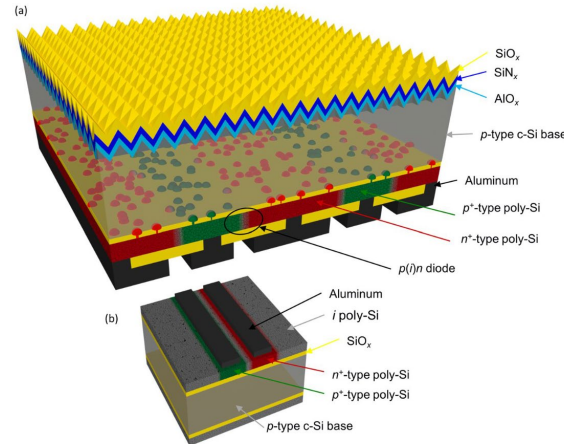
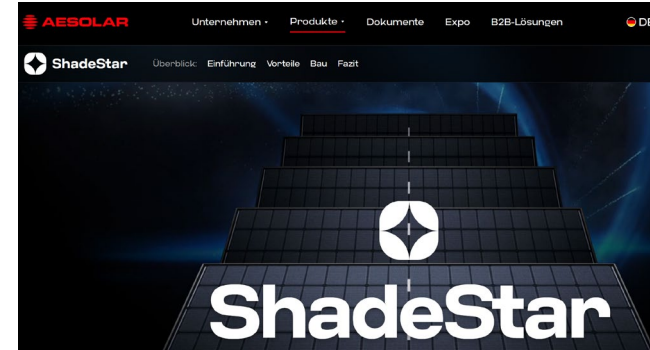


Figure 1. (a) Schematic drawing of the structure of an IBC solar cell with n^+ - and p^+ -type poly-Si contact fingers separated by an initially intrinsic poly-Si region. (b) Sketch of a $p(i)/n$ test structure.

2. Generation

NEOSTAR 2S Einzelglas 440W-470W

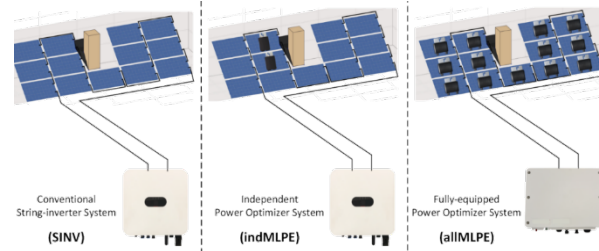
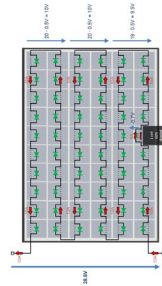
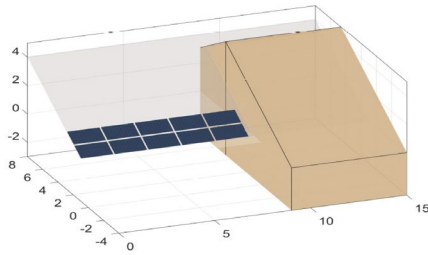
Teilverschattungs-Optimierung | Besserer
Temperaturkoeffizient | Geringere
Zelltemperatur bei Verschattung |
Widerstandsfähigkeit gegen Mikrorisse | Höhere
Leistung | Niedrigere BOS | Höhere Ästhetik



<https://ae-solar.com/de/products/ShadeStar>

z.B. Hersteller AIKO
[Aiko ABC Module](#) Erläuterungen dazu von [AIKO](#)
e.g. Distributor **Energy Depot** Schweiz & DACH
<https://www.energydepot.ch/>

Conclusion – Recommendations – PV Rooftop



Shading Scenarios			PV Module	Power Electronic Systems		
Shading degree	Objects	Modules affected	Type	SINV	indMLPE	allMLPE
Weak		<10%	Standard	+	+	-
			4+ Bypass diode	+	+	-
Medium		>10% and <40%	Standard	0	+	+
			4+ Bypass diode	+	+	+
Strong	Buildings, trees	>40%	Standard	-	0	+
			4+ Bypass diode	0	+	+



Call for Improvements



The IEA study has identified several key areas where improvements are needed:

- Urgent call to optimiser **manufacturers** to finally provide **realistic efficiency data, standards**
- **Cost analysis of replacement** of optimiser **including craftsman** compared to string inverter

Optimizing PV Plant Design

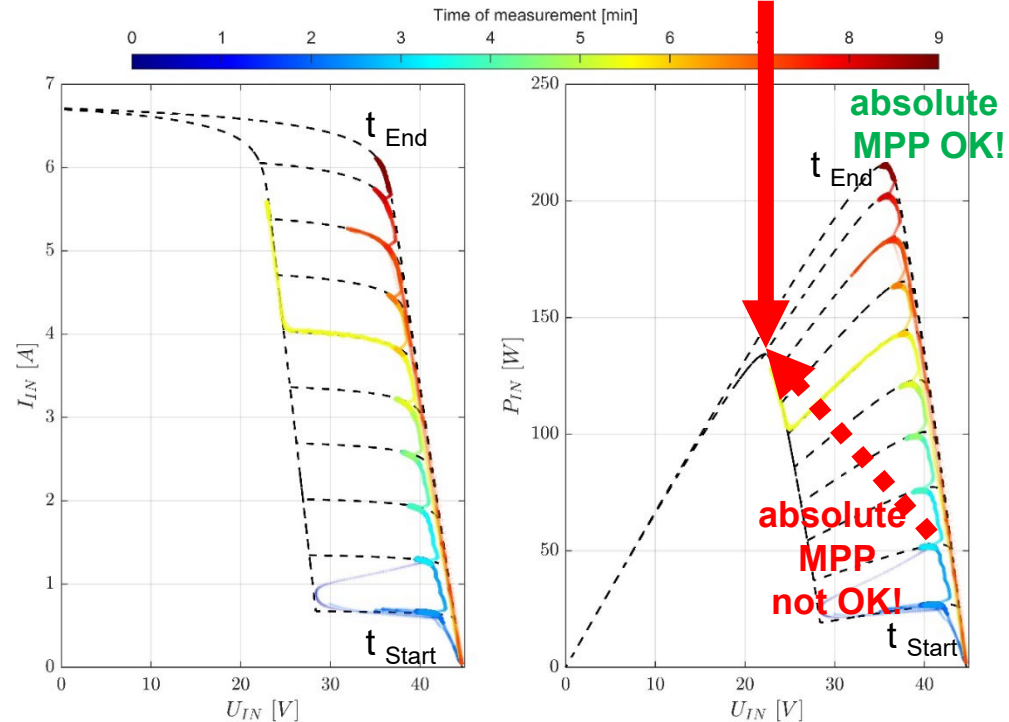
- **Collaborative efforts for improved PV planning:**
website **information of typical shading cases** and annual exact performance, transfer research findings to **commercial PV design software**
- **Extension of the recommendations** in the IEA report to improve performance such as for the efficient power electronic system choice together with new **hotspot-free PV modules** and **optimum distance from module** to shading objects based on exact annual performance simulations

Shading Scenarios			PV Module	Power Electronic Systems		
Shading degree	Objects	Modules affected	Type	SINV	indMLPE	allMLPE
Weak		<10%	Standard	+	+	-
			4+ Bypass diode	+	+	-
Medium		>10% and <40%	Standard	0	+	+
			4+ Bypass diode	+	+	+
Strong	Buildings, trees	>40%	Standard	-	0	+
			4+ Bypass diode	0	+	+

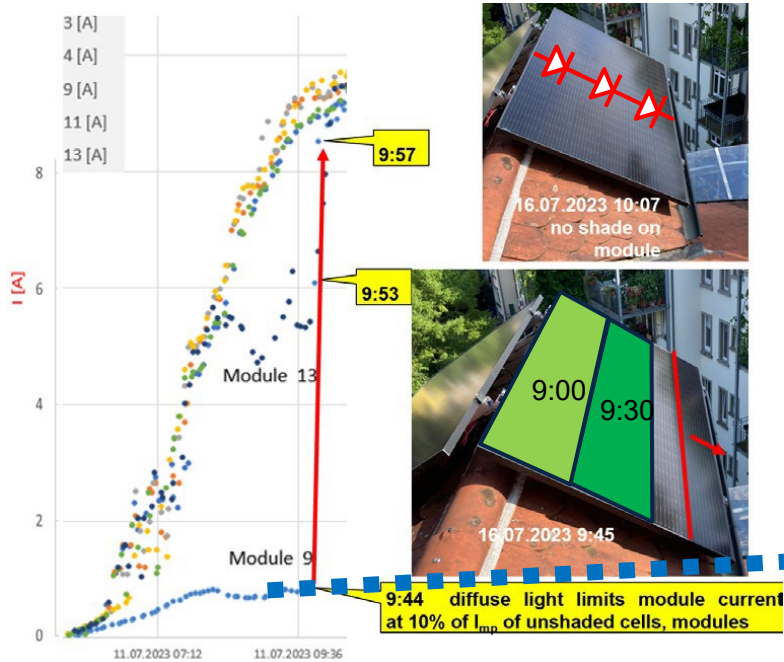
Indoor Labtest - Commercial MPP Tracking Failed

- MPP Tracking works in the morning
- MPP failures occurred at the beginning to find the global MPP by switching from about 40V to about 22V, for strong shading of a single solar cell! Device under Test Huawei 450W-P (Ref.: C. Allenspach Masterthesis ZHAW 2023)
- MPP works for lower shading at higher voltages 42V to 35V here after the first five minutes

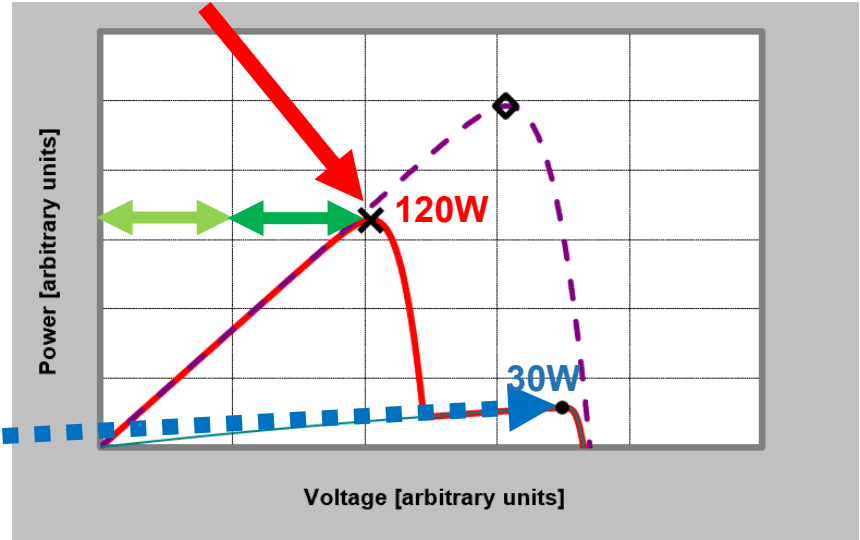
global MPP was not reached for strong shading!



Outdoor Test - Commercial MPP Tracking Failed



absolute MPP was not reached for strong shading!

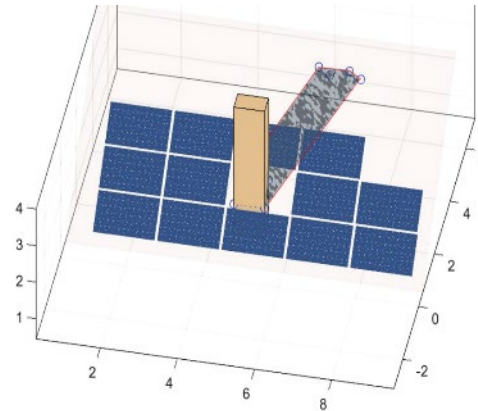


- Result: **MPP tracking failed** in outdoor operation toward low PV module voltage and high current as demonstrated in indoor tests

PV Output Estimation with Partial Shading



- Shading by obstacles in Switzerland
- Estimation by simulation with 3D PV-modell

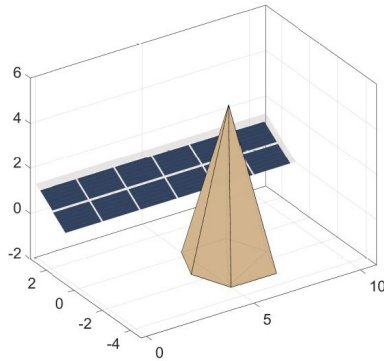
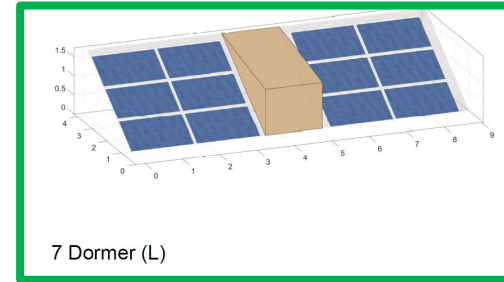
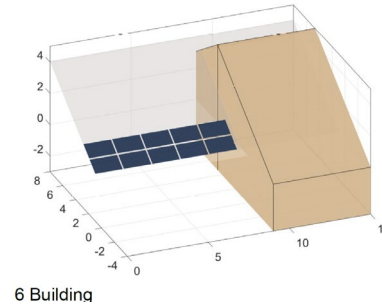
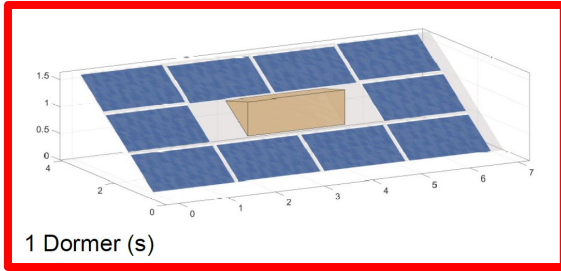


ZHAW SOE ShadingTool



Various rooftops in Zurich City, by Juliet Haller (AFS),
Office for Urban Development – City of Zurich, «Leitfaden Dachlandschaften»

Performance at Light and Heavy Shading



Cases	No:	Shading Severity	Shading index $SI_{DC,Max}$ [%]	Simulated annual yield [kWh]				MLPE Gain [%]
				no shading & no loss [kWh]	no losses [kWh]	allIMLPE [kWh]	SINV [kWh]	
Dormer (s)	1	Low	0.9	4410	4368	4207	4247	-1.0
Vent. Pipe	2	Low	2.9	4410	4282	4122	4129	-0.2
Chimney	3	Low	3.6	6337	6109	5904	5858	0.8
Tree 1	4	Medium	5.0	5295	5029	4862	4802	1.3
Tree 2	5	Medium	6.0	4410	4145	3987	3926	1.5
Building	6	Medium	7.9	4410	4062	3905	3802	2.7
Dormer (L)	7	Heavy	9.1	5295	4812	4643	4435	4.7
Roof Edge	8	Heavy	12.7	4410	3847	3693	3621	2.0

Thank you for your Attention



IEA PVPS T13 Report Online: Nov 2024, [IEA Website](#)



Website PV Shading Cases: ZHAW [WebPVshade](#)



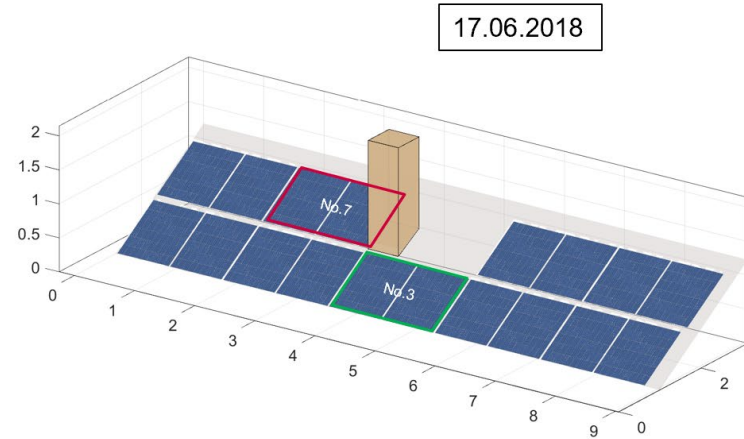
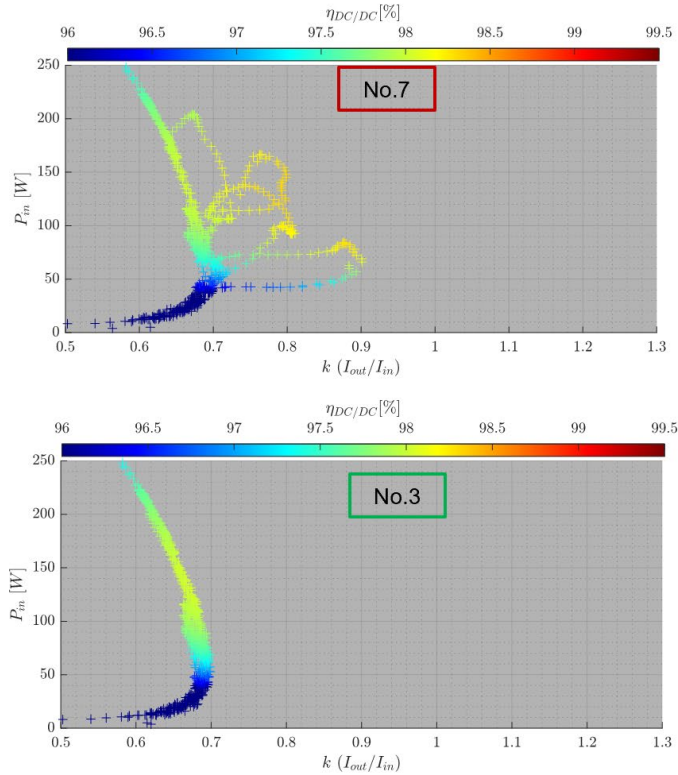
PV shading talks via [youtube](#)



Franz Baumgartner, [University ZHAW Webpage](#), [ResearchGate](#)

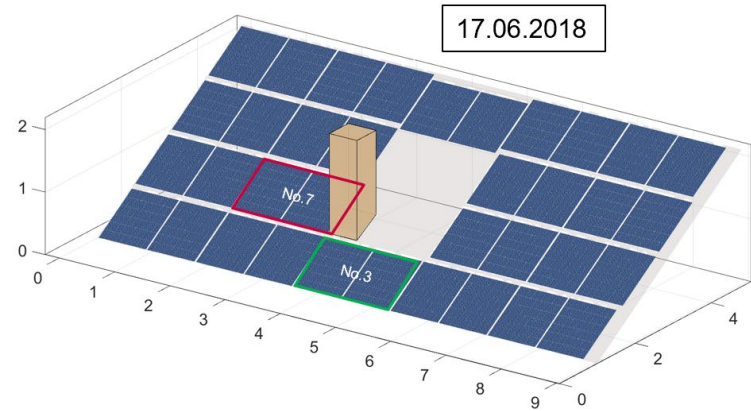
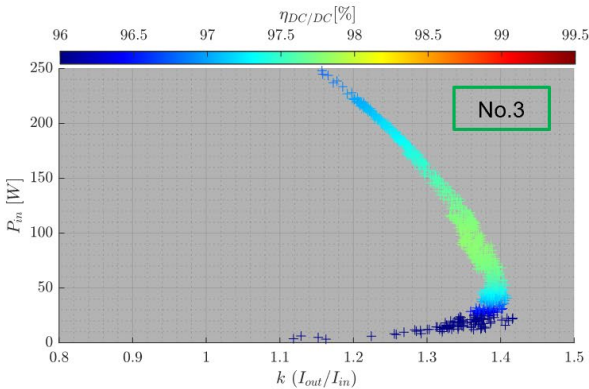
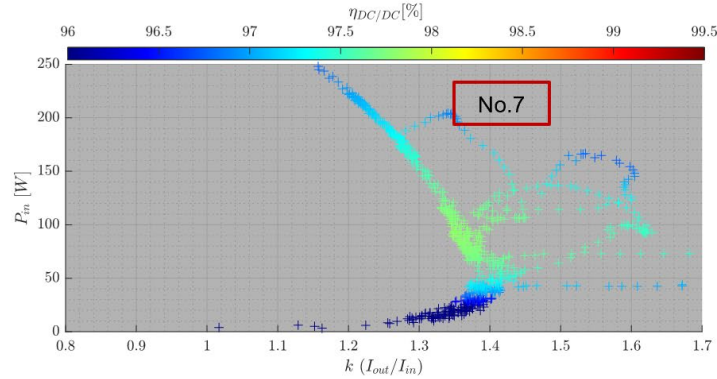


9 Optimizer im String



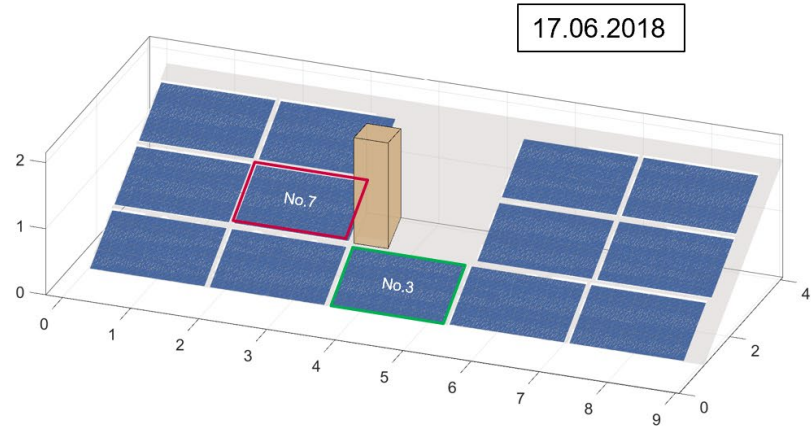
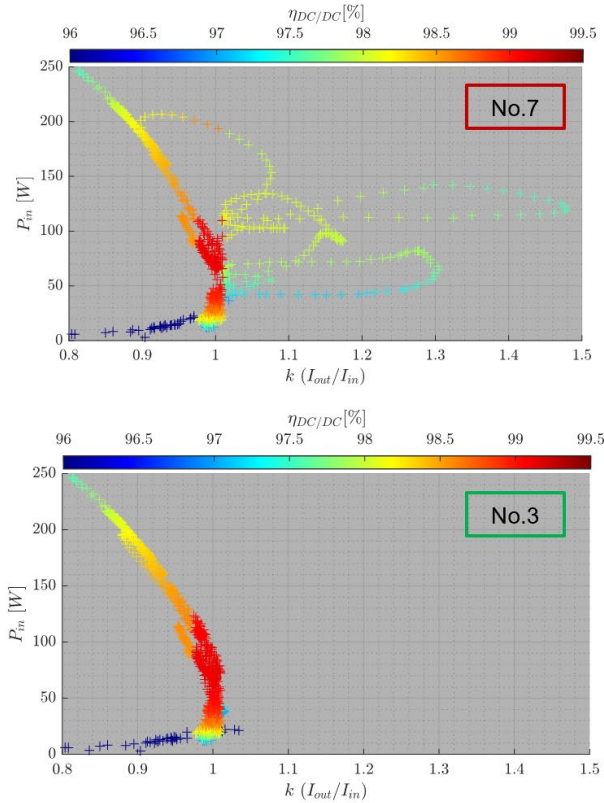
9 PV Modules

13 Optimizer im String



18 PV Modules

13 Optimizer im String

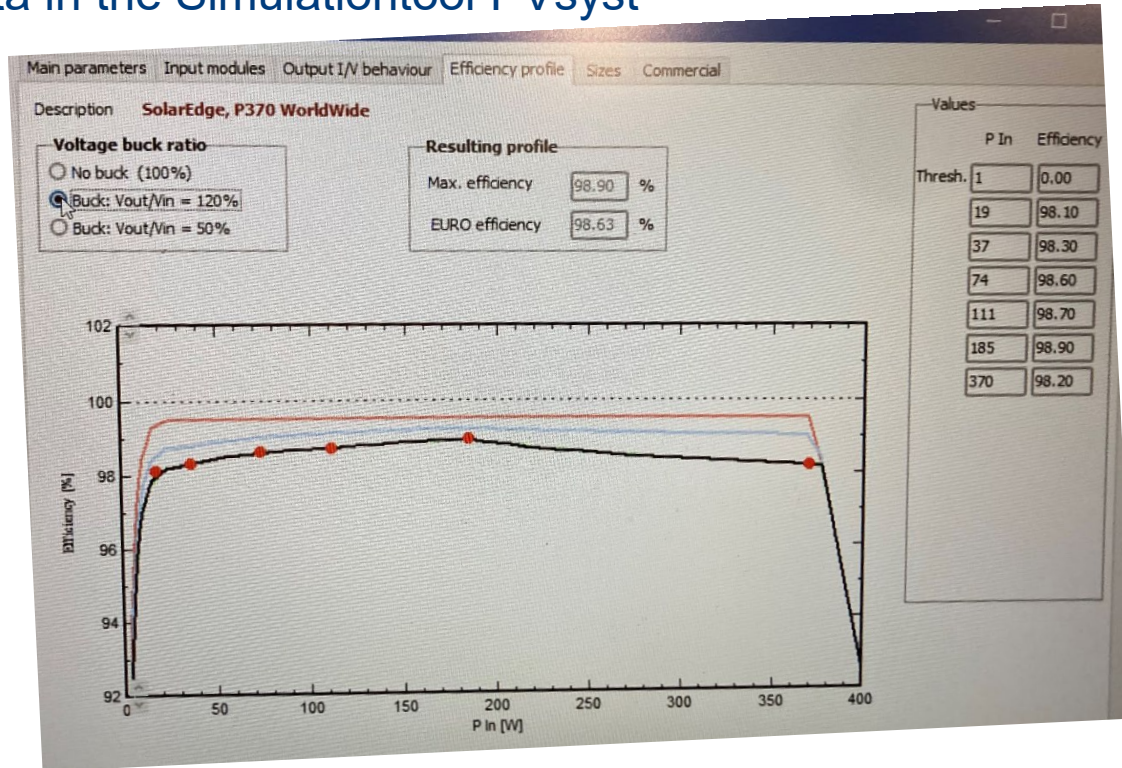


13 PV Modules

Appendix: Info from PVsyst EUPVSEC 24 Booth



Solaredge data in the Simulationtool PVsyst



Heating with bypass diode activated

2. Kirchhoff Law

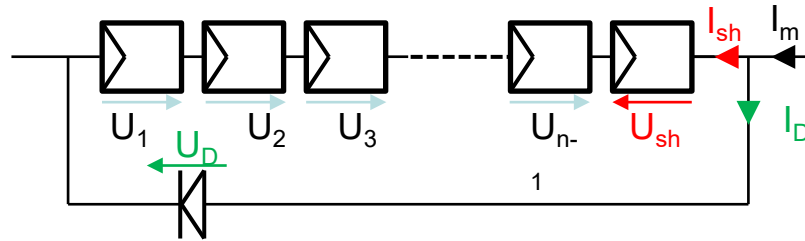
$$U_{sh} = U_1 + U_2 + U_3 + \dots + U_{n-1} + U_D$$

1. Kirchhoff Law

$$I_{sh} = I_m - I_D$$

Heating Power

$$P_{sh} = U_{sh} \cdot I_{sh}$$



Example: $n=20$, $U_1 = U_2 = U_3 \dots U_{n-1} = 0.55\text{V}$, $U_D = 0.6\text{V}$; $I_m = 10\text{A}$, $I_D = 2\text{A}$

$$U_{sh} = U_1 + U_2 + U_3 + \dots + U_{n-1} + U_D = 11.05 \text{ V}$$

$$I_{sh} = I_m - I_D = 8 \text{ A}$$

$$P_{sh} = U_{sh} \cdot I_{sh} = 88.4 \text{ W}$$